

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	11389-035	Total Pages	77
	First Named Inventor or Application Identifier			
	Theron Tock			
	Express Mail Label No.	EV 335 857 066 US		

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapters 600 concerning utility patent application contents.</i>	<b>Address to:</b> <b>Commissioner for Patents</b> <b>P.O. Box 1450</b> <b>Mail Stop PATENT APPLICATION</b> <b>Alexandria, VA 22313-1450</b>
---	--

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims Small Entity status, see 37 C.F.R. § 1.27
3. ☒ Specification [Total Pages 43 ]  
(preferred arrangement set forth below, MPEP 1503.01)  
- Descriptive title of the Invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R&D  
- Reference to Microfiche Appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description of the Invention (including drawings, if filed)  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 11 ]
5. ☒ Oath or Declaration [Total Sheets 2 ]  
a. ☐ Newly executed (original or copy)  
b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. ☐ Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(i). Applicant must attach form PTO/SB/35 or it's equivalent
17. ☒ Other: Appendix A (3 pgs)

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/437,994 filed November 10, 1999.

Prior application information: Examiner: Won, Young N.

Group Art Unit: 2155

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number		20583 <i>Insert Customer No. or Attach bar code label here</i>		or <input type="checkbox"/> Correspondence address below	
NAME					
ADDRESS					
CITY	STATE		ZIP CODE		
COUNTRY	TELEPHONE		FAX		

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

09/17/03  
15920 U.S. PTO

Prior application:

Examiner Won, Young N.

Art Unit 2155

Commissioner for Patents  
Mail Stop: PATENT APPLICATION  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR § 1.53(b), of pending prior application no. 09/437,994 filed on November 10, 1999

of Marshall et al.

(inventor(s) currently of record in prior application)

for SYSTEM AND METHOD FOR ENABLING A CLIENT APPLICATION TO OPERATE OFFLINE FROM A SERVER

(title of invention)

1. ☒ The filing fee is calculated below:

## PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	60	- 20	40	\$18.00 each	\$ 720.00
Independent	2	- 3		\$84.00 each	\$ 0.00
Minimum Fee					\$ 750.00
Multiple Dependency Fee					\$
If Applicable (\$280.00)					\$
<b>Total</b>					\$ 1470.00
Applicant qualifies for the 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern					\$
<b>Total Filing Fee</b>					\$ 1470.00

2. ☒ Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.
3. ☒ Amend the specification by inserting before the first line the following sentence: This application is a continuation of United States patent application serial No. 09/437,994, filed November 10, 1999, entitled SYSTEM AND METHOD FOR ENABLING A CLIENT APPLICATION TO OPERATE OFFLINE FROM A SERVER, which is hereby incorporated by reference in its entirety for each of its teachings and embodiments.

- 4a. ☐ New formal drawings are enclosed.

09/26/2003 EHAILE1 00000023 161150 10666818  
Sale Ref: 00000052 DAW: 161150 10666818  
01 FC:1001 750.00 DA  
02 FC:1202 720.00 DA

- 4b. ☒ Informal drawings are enclosed.
- 5a. ☒ Priority of United States Provisional Application no. 60/158,937 filed on October 12, 1999, is claimed under 35 U.S.C. §119.
- 5b. ☐ The certified copy has been filed in prior application no. , filed .
6. ☐ The prior application is assigned of record to .
- 7a. ☒ A copy of the Declaration filed in the prior application no. 09/437,994, filed November 10, 1999 is enclosed.
- 7b. ☒ A Power of Attorney for the assignee of record is enclosed.
8. ☐ This application contains nucleic acid and/or amino acid sequences required to be disclosed in a Sequence Listing under 37 CFR §§1.821-1.825. It is requested that the Sequence Listing in computer readable form from prior application no., filed on be made a part of the present application as provided for by 37 C.F.R. §1.821(e). The sequences disclosed therein are the same as the sequences disclosed in this application. A copy of the paper Sequence Listing from application no. is enclosed.
9. ☐ The undersigned states, under 37 C.F.R. §1.821(f), that the content of the enclosed paper Sequence Listing from application no. is the same as the content of the computer readable form submitted in application no. .
10. ☐ DO NOT PUBLISH. I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
11. ☐ Additional enclosures or instruction

Date September 17, 2003

Respectfully submitted

*Samuel B. Abrams* For

Samuel B. Abrams

PENNIE & EDMONDS LLP

1155 Avenue of the Americas

New York, New York 10036-2711

REG. NO. 45,755

30,605

(Reg. No.)